

No. 1307-2019/BC-HATS

Hanoi, 30 July 2019

REPORT ON CORPORATE GOVERNANCE OF LISTING COMPANY

(The first 6 months of 2019)

- Respectfully to:**
- **State Securities Commission of Vietnam**
 - **Ho Chi Minh Stock Exchange**
-
- **Name of listing company: HAI AN TRANSPORT AND STEVEDORING JOINT STOCK COMPANY**
 - **Head office's address: Floor 7, No. 45, Trieu Viet Vuong street, Bui Thi Xuan ward, Hai Ba Trung district, Hanoi**
 - **Tel: (+84-24) 3987 7515 Fax: (+84-24) 3974 4022**
 - **Email: www.haiants.vn**
 - **Charter capital: 487,827,510,000 VND**
 - **Securities code: HAH**

I. Activities of General Meeting of Shareholders

Information on the meetings and Resolutions adopted in the General Meeting of Shareholders:

S/N	Resolution No.	Date	Content
1	0204-2019/NQ-ĐHĐCĐ	11/4/2019	Approving the issues under the decisive authority of General Meeting of Shareholders.

II. Board of Directors ("BOD") (Report of the first 6 months of 2019)

1. Information about the members of BOD:

From 01/01/2019 to 30/6/2019, 8 meetings of BOD were held:

S/N	Name of Member	Title	Number of attendance	Ratio	Remark
1	Mr. Vu Ngoc Son	Chairman	08	100%	
2	Mr. Bui Tuan Ngoc	Member	07	85,7%	
3	Mr. Vu Thanh Hai	Member	08	100%	

S/N	Name of Member	Title	Number of attendance	Ratio	Remark
4	Mr. Tran Quang Tien	Member	05	100%	Appointed on 11/4/2019
5	Mr. Nguyen Ngoc Tuan	Member	05	75%	Appointed on 11/4/2019
6	Ms. Tran Thi Hai Yen	Member	08	100%	
7	Ms. Nguyen Thi Van	Member	05	100%	Appointed on 11/4/2019

2. Supervision by the BOD over the Director General

- BOD regularly monitors all activities of Board of Management (“BOM”) and other management work, coordinates with the Company’s Control Board to check the following issues:
 - + Implementation of all planned criteria as proposed by General Meeting of Shareholders and BOD;
 - + Contents of implementing the Resolutions approved by General Meeting of Shareholders, BOD as well as other Decisions promulgated by BOD in terms of progress and performance measures.
- Supervising the business activities of the Holding Company and subsidiaries.
- Supervising the Company’s financial performance: at the quarterly and extraordinary meetings, BOD shall evaluate the implementation progress as planned and approve Reports of quarter business results.
- Conducting the Board of Management to successfully organize the annual General Meeting of Shareholders of 2019.

3. Activities of the Board of Directors’ committees: Not applicable

4. Resolutions/Decisions of the Board of Director in the first 6 months of 2019

S/N	Resolution/Decision No.	Date	Content
1	0103-2019/NQ-HĐQT	19/03/2019	- Approving the organization plan of annual General Meeting of Shareholders of 2019
2	0303-2019/NQ-HĐQT	25/3/2019	- Approving all documents submitted to the annual General Meeting of Shareholders of 2019
3	0204-2019/NQ-HĐQT	08/4/2019	- Approving the change in capital use purpose under the share issuance to existing shareholders according to Certificate of Share Offer No. 25/GCN-UBCK issued by Chairman of State Securities Committee of Vietnam on

S/N	Resolution/Decision No.	Date	Content
			13/4/2018
4	0205-2019/NQ-HĐQT	20/5/2019	- Approving the plan of dividend distribution in cash in 2018
5	0405-2019/NQ-HĐQT	27/5/2019	- Dismissing the title of Deputy Director General, Chief Accountant and Head of Shareholders Relation from Ms. Pham Thi Hong Nhung
6	0505-2019/NQ-HĐQT	27/5/2019	- Terminating the authorization of information announcement with respect to Ms. Pham Thi Hong Nhung
7	0206-2019/NQ-HĐQT	03/6/2019	- Approving the signing of contracts with related parties
8	0406-2019/NQ-HĐQT	05/6/2019	- Appointing Ms. Tran Thi Thanh Hao to be the person-in-charge of Accounting
9	0606-2019/NQ-HĐQT	11/6/2019	- Approving the signing of contracts with related parties

III. Control Board (Report of the first 6 months of 2019)

1. Information about the member of Control Board:

From 01/01/2019 to 30/6/2019, 01 meeting of Control Board was held:

S/N	Name of member	Title	Number of attendance	Ratio	Remark
1	Ms. Nguyen Thi Thanh Tu	Head	01	100%	
2	Ms. Tran Thi Thom	Controller	01	100%	
3	Mr. Nguyen Xuan Loc	Controller	01	100%	

2. Surveillance activities of the Control Board toward the Board of Directors, Board of Management as well as the coordination among Control Board with BOD, BOM and other managers:

a. Evaluating the activities of BOD:

- BOD has closely supervised and timely conducted BOM in operating the business production as well as supervised the implementation of resolutions approved at General Meeting of Shareholders.
- Resolutions by BOD have been correctly promulgated upon the functions, authorities and in compliance with the Resolutions of General Meeting of Shareholders; absolutely complying with the Company's Charter, Enterprise Law as well as other law regulations.
- BOM has held the ordinary and extraordinary meetings according to the regulations to deploy the work of supervision and orientation with respect to the Company's business activities.

- During the performance, Control Board has been facilitated by BOM to well complete its missions.

b. Evaluating the activities of BOD and other managers:

- Control Board highly appreciated the BOM's attempts and efforts in organizing the business activities as well as measures of fast response to any change of the market under the hard background of world economy and Vietnam in general as well as the activities of shipping and port exploitation in particular.
- During the past time of operation process, the Control Board has not detected any abnormal findings from the management work of BOM and of other managers in the Company.

3. Control Board's other activities: Not applicable

IV. Training on the corporate governance

BOD's Members, Controllers, Directors General and other managers have already attended training courses of corporate governance in accordance with the regulations of company management.

V. List of affiliated persons of the public company as specified in clause 34, Article 6 of the Securities Law (Report of the first 6 months of 2019) and transactions of affiliated persons of the Company with the Company

- 1. List of affiliated persons of the Company (See the attached Appendix 1)**
 - 2. Transactions between the company and the affiliated persons or between the company and major shareholders, internal persons and related person of internal person**
- a) Transactions and balances with key management members and related individuals of key management members:**

Key management members include: BOD's members and Members of Executive Board. The related individuals of key management members are their family members.

Remuneration of key management members:

	Accumulated from the beginning of year to the end of this term	
	<u>This year</u>	<u>Previous year</u>
Salary	1,452,830,772	1,853,792,310
Allowance	198,000,000	124,800,000
Bonus	2,446,314,664	1,722,778,622
Total	<u>4,097,145,436</u>	<u>3,701,370,932</u>

b) Revenue generated from the supply of services to affiliated parties:

The transactions of supplying the services of ship & port operation and property lease with respect to related parties which are not the Company's subsidiaries arose in the Company as follows:

**Accumulated from the beginning of
year to the end of this term**

	<u>This year</u>	<u>Previous year</u>
Hai An Agency and Logistics Company Limited (HAAL)	1,359,609,058	8,936,364
Hai An General Service Joint Stock Company (HAGS)	241,482,819	602,778,945
Hai Phong branch of Macs Shipping Corp.		54,087,928
Marina Logistics Company Limited	6,700,000	
HaiPhong branch of Marina Logistics Company Limited	1,082,146,597	1,040,996,412

c) Transactions of other related parties:

	Accumulated from the beginning of year to the end of this term	
	<u>This year</u>	<u>Previous year</u>
<i>Hai An General Service Joint Stock Company (HAGS)</i>		
Software purchase on behalf of HAGS		
Payables to HAGS for the supply of port exploitation service	2,279,591,595	4,682,688,468
<i>Transimex Corporation</i>		
Dividend distribution	683,257,500	4,414,385,000
Use the service of ship exploitation		
Use other services		
<i>Hai Ha Investment and Transport JSC</i>		
Dividend distribution	8,351,250,000	3,045,000,000
Lease of offices and other services	1,279,504,248	1,188,386,884
Use the service of ship exploitation		
Payables for the supply of port exploitation services		
Use other services		
<i>Marina Logistics Company Limited</i>		
Dividend distribution	2,583,090,000	300,330,000
Receivables for the services of port exploitation		31,101,321
<i>Green Field Joint Stock Company</i>		
Dividend distribution	3,000,000,000	
<i>Green Field Agency Joint Stock Company</i>		
Dividend distribution	1,500,000,000	

3. Transaction between internal person of listed company and company's subsidiaries, or the company in which listed company takes controlling power: Not applicable

4. Transactions between the Company with other objects: Not applicable

VI. Transactions of internal persons and related person of internal person (the first 6 months of 2019)

1. List of internal persons and their affiliated persons (See the attached Appendix 02)

2. Transactions of internal persons and affiliated persons on shares of the listed company

S/N	Transaction executor	Relationship with internal shareholder	Number of shares owned at the beginning of period		Number of shares owned at the end of period		Reason for increase/decrease (purchase, sale, switch, reward ...)
			Number of shares	Ratio (%)	Number of shares	Ratio (%)	
1	Transimex Corporation	Mr. Bui Tuan Ngoc- Chairman of BOD of Transimex Corporation	3,242,475	6.65%	455,505	0.934%	- Reduction of investment

VII. Other notes (in the first 6 months of 2019)

Not applicable

CHAIRMAN OF THE BOARD OF DIRECTORS

(signed and sealed)

VU NGOC SON

LIST OF RELATED PERSON(S)

(enclosed with Report on Corporate governance of listing company No. 1307-2019/BC-HATS)

S/N	Name of organization/individual	Securities trading account (if any)	Title in the Company (if any)	NSH Certificate*, Date of issue, Place of issue	Head office/Contact address	Time of starting to be affiliated person	Time of ending to be affiliated person	Reason
1	Mr. Vu Ngoc Son		Chairman of BOD			18/09/2011		
2	Nhu Thi Thuy					18/09/2011		
3	Vu Thanh Hai					18/09/2011		
4	Vu Doan Manh					18/09/2011		
5	Vu Truong Giang					18/09/2011		
6	Hai Ha Investment and Transport JSC					18/09/2011		
7	Hai An Agency and Logistics Co., Ltd					18/09/2011		
8	"K" Line Logistics (Vietnam) Ltd.					18/09/2011		
9	Hai An Container Transport Company Limited					13/11/2015		
10	Pan Hai An Company Limited					20/10/2017		
11	Mr. Bui Ngoc Tuan		Member of BOD			18/09/2011		
12	Phung T. Quynh Yen					18/09/2011		
13	Nguyen Thanh Huyen					18/09/2011		
14	Bui Minh Tuan					18/09/2011		
15	Vina Investment JSC					18/09/2011		

S/N	Name of organization/individual	Securities trading account (if any)	Title in the Company (if any)	NSH Certificate*, Date of issue, Place of issue	Head office/Contact address	Time of starting to be affiliated person	Time of ending to be affiliated person	Reason
16	Toan Viet Investment JSC					18/09/2011		
17	Vinafreight JSC					18/09/2011		
18	Transimex Corporation					18/09/2011		
19	An Phuc Thinh Trading and Service Co., Ltd					13/11/2013		
20	Hai An Container Transport Co., Ltd					13/11/2015		
21	Cho Lon Investment and Import Export Corporation (CHOLIMEX)					01/07/2016		
22	Mr. Vu Thanh Hai		Member of BOD and General Director			13/11/2013		
23	Vu Ngoc Son					13/11/2013		
24	Nhu Thi Thuy					13/11/2013		
25	Nguyen Linh Chi					13/11/2013		
26	Vu Nguyen Khue Ngan					13/11/2013		
27	Vu Nguyen Khanh Ngoc					13/11/2013		
28	Vu Nguyen Khoi Nguyen					13/11/2013		
29	Vu Doan Hanh					13/11/2013		
30	Marina Logistics Company Limited					13/11/2013		
31	Hai Ha Investment and Transport JSC					13/11/2013		
32	"K" Line Logistics (Vietnam) Ltd.					13/11/2013		

S/N	Name of organization/individual	Securities trading account (if any)	Title in the Company (if any)	NSH Certificate*, Date of issue, Place of issue	Head office/Contact address	Time of starting to be affiliated person	Time of ending to be affiliated person	Reason
33	Hai An Container Transport Co., Ltd					13/11/2015		
34	Pan Hai An Company Limited					20/10/2017		
35	Hai An Port Company Limited					06/12/2018		
36	Mr. Nguyen Ngoc Tuan		Member of BOD and Deputy General Director			17/10/2017		
37	Nguyen Van Bao					17/10/2017		
38	Nguyen Thi Mau					17/10/2017		
39	Nguyen Thi Thu Hang					17/10/2017		
40	Nguyen Ngoc Thuy Anh					17/10/2017		
41	Nguyen Ngoc Minh Chau					17/10/2017		
42	Nguyen Thanh Tung					17/10/2017		
43	Hai An Container Transport Co., Ltd					17/10/2017		
44	Hai An General Service JSC					17/10/2017		
45	Hai An Agency and Logistics Co., Ltd					17/10/2017		
46	Ms. Tran Thi Hai Yen		Member of BOD			29/06/2018		
47	Nguyen Nam Phuong					29/06/2018		
48	Nguyen Thi Dieu					29/06/2018		
49	Nguyen Khanh Trang					29/06/2018		

S/N	Name of organization/individual	Securities trading account (if any)	Title in the Company (if any)	NSH Certificate*, Date of issue, Place of issue	Head office/Contact address	Time of starting to be affiliated person	Time of ending to be affiliated person	Reason
50	Nguyen Tuan Vuong					29/06/2018		
51	Tran Thi Hai Sam					29/06/2018		
52	Tran Song Ha					29/06/2018		
53	Ms. Nguyen Thi Van		Member of BOD			11/04/2019		
54	Nguyen Trong Nguyen					11/04/2019		
55	Nguyen Thi Doan					11/04/2019		
56	Duong Thanh Dung					11/04/2019		
57	Duong Thanh Phuoc					11/04/2019		
58	Duong Thanh Son					11/04/2019		
59	Pan Hai An Company Limited					11/04/2019		
60	Mr. Tran Quang Tien		Member of BOD			11/04/2019		
61	Tran Quang Tuan					11/04/2019		
62	Vu Thi Ngoc Anh					11/04/2019		
63	Tran Vu Uyen Nhi					11/04/2019		
64	Tran Quang Thanh Dat					11/04/2019		
65	Tran Thi Thanh Hang					11/04/2019		
66	Tran Thi Thanh Thao					11/04/2019		
67	Gelex Logistics Company Limited					11/04/2019		
68	South Logistics Joint Stock Company					11/04/2019		

S/N	Name of organization/individual	Securities trading account (if any)	Title in the Company (if any)	NSH Certificate*, Date of issue, Place of issue	Head office/Contact address	Time of starting to be affiliated person	Time of ending to be affiliated person	Reason
69	Southern Waterborne Transport Corporation					11/04/2019		
70	No.1 Logistics Development Joint Venture Company					11/04/2019		
71	South Port JSC					11/04/2019		
72	Green Field JSC					11/04/2019		
73	Green Field Agency JSC					11/04/2019		
74	Ms. Nguyen Thi Thanh Tu		Head of Control Board			25/04/2016		
75	Nguyen Huu Tien					25/04/2016		
76	Nguyen Thi Loan					25/04/2016		
77	Nghiem Anh Hop					25/04/2016		
78	Nghiem Nguyen Bao Nhi					25/04/2016		
79	Nghiem Xuan Khanh					25/04/2016		
80	Nguyen Huu Toan					25/04/2016		
81	Ms. Tran Thi Thom		Controller			29/06/2018		
82	Tran Van Quan					29/06/2018		
83	Vu Thi Duyen					29/06/2018		
84	Tran Dinh Trung					29/06/2018		
85	Tran Thi Thu Trang					29/06/2018		
86	Tran Van Quan					29/06/2018		
87	Tran Thi Hue					29/06/2018		
88	Tran Van Nam					29/06/2018		
89	Tran Thi Tham					29/06/2018		

S/N	Name of organization/individual	Securities trading account (if any)	Title in the Company (if any)	NSH Certificate*, Date of issue, Place of issue	Head office/Contact address	Time of starting to be affiliated person	Time of ending to be affiliated person	Reason
90	Tran Van Phat					29/06/2018		
91	Mr. Nguyen Xuan Loc		Controller			29/06/2018		
92	Ngo Le Thuy					29/06/2018		
93	Vu Thi Me					29/06/2018		
94	Nguyen Phuc Minh					29/06/2018		
95	Pan Hai An Company Limited					29/06/2018		
96	Ms. Pham Thi Hong Nhung		Chief Accountant and Deputy General Director				27/05/2019	
97	Dang Thi Dung						27/05/2019	
98	Nguyen Pham Hong Le						27/05/2019	
99	Nguyen Pham Hong Nhi						27/05/2019	
100	Pham Thi Hong Nhien						27/05/2019	
101	Pham Van Hung						27/05/2019	
102	Pham Duy Hung						27/05/2019	
103	Hai An Container Transport Co., Ltd						27/05/2019	
104	Ms. Tran Thi Thanh Hao		Person-in-charge-of Accounting			05/06/2019		
105	Tran Quang Hai					05/06/2019		
106	Do Thi Hien					05/06/2019		
107	Nguyen Thanh Long					05/06/2019		
108	Nguyen Tran Nhat					05/06/2019		

S/N	Name of organization/individual	Securities trading account (if any)	Title in the Company (if any)	NSH Certificate*, Date of issue, Place of issue	Head office/Contact address	Time of starting to be affiliated person	Time of ending to be affiliated person	Reason
109	Nguyen Tran Nhat Nam					05/06/2019		
110	Tran Quang Huy					05/06/2019		

LIST OF INTERNAL SHAREHOLDERS AND RELATED PERSON(S) OF THE INTERNAL PERSON(S)

(enclosed with Report on Corporate governance of listing company No. 1307-2019/BC-HATS)

S/N	Name of organization/individual	Securities Trading Account (if any)	Title	ID card/Certificate of Business Registration No.	Date of issue	Place of issue	Address	Number of shares owned at the end of period	Ratio	Remark
I. Internal shareholders and person(s) authorized to disclose information										
1, Board of Directors (BOD)										
1	Mr. Vu Ngoc Son		Chairman of BOD					812,502	1.666%	
2	Mr. Bui Tuan Ngoc		Member of BOD					-	-	
	Transimex Corporation - Representativge: Mr. Bui Tuan Ngoc							455,505	0.934%	
3	Mr. Vu Thanh Hai		Member of BOD and General Director					2,250	0.005%	
	Hai Ha Investment and Transport JSC- Representative: Mr. Vu Thanh Hai							5,567,500	11.413%	
4	Mr. Tran Quang Tien		Member of BOD					500	0.001%	
	Green Field JSC- Representative: Mr. Tran Quang Tien							2,000,000	4.100%	
	Green Field Agency JSC- Representative: Mr. Tran Quang Tien							1,000,000	2.050%	

S/N	Name of organization/individual	Securities Trading Account (if any)	Title	ID card/Certificate of Business Registration No.	Date of issue	Place of issue	Address	Number of shares owned at the end of period	Ratio	Remark
5	Mr. Nguyen Ngoc Tuan		Member of BOD and Deputy General Director					11,125	0.023%	
6	Ms. Tran Thi Hai Yen		Member of BOD					3,375	0.007%	
7	Ms. Nguyen Thi Van		Member of BOD					4,500	0.009%	
2, Board of Management										
2	Mr. Vu Thanh Hai		General Director and Member of BOD					2,250	0.005%	
3	Mr. Nguyen Ngoc Tuan		Deputy General Director and Member of BOD					11,125	0.023%	
4	Ms. Pham Thi Hong Nhung		Deputy General Director and Chief Accountant					-	-	
3, Control Board										
1	Ms. Nguyen Thi Thanh Tu		Head of Control Board					14,725	0.030%	
2	Ms. Tran Thi Thom		Controller					13,170	0.027%	
3	Mr. Nguyen Xuan Loc		Controller					-	-	
4, Chief Accountant/Person-in-charge-of Accounting										

S/N	Name of organization/individual	Securities Trading Account (if any)	Title	ID card/Certificate of Business Registration No.	Date of issue	Place of issue	Address	Number of shares owned at the end of period	Ratio	Remark
1	Ms. Pham Thi Hong Nhung		Deputy General Director and Chief Accountant					-	-	
2	Ms. Tran Thi Thanh Hao		Person-in-charge-of Accounting					-	-	

5, Person authorized to disclose information: Not applicable

II. Affiliated person(s) of the internal shareholder(s) who is the Member of BOD

1.	Mr. Vu Ngoc Son		Chairman of BOD					812,502	1.666%	
1.1	Nhu Thi Thuy		Wife					52,875	0.108%	
1.2	Vu Thanh Hai		Child					2,250	0.005%	
1.3	Vu Doan Manh		Child					12,150	0.025%	
1.4	Vu Truong Giang		Brother					-	-	
1.5	Hai Ha Investment and Transport JSC		Chairman of BOD					5,567,500	11.413%	
1.6	Hai An Agency and Logistics Co., Ltd		Chairman of BOM					-	-	
1.7	"K" Line Logistics (Vietnam) Ltd.		Chairman of BOM					-	-	
1.8	Hai An Container Transport Co., Ltd		Chairman of BOM					-	-	
1.9	Pan Hai An Company Limited		Chairman of BOM					-	-	
2	Mr. Bui Tuan Ngoc		BOM's Member					-	-	
2.1	Phung T Quynh Yen		Mother					-	-	
2.2	Nguyen Thanh Huyen		Wife					-	-	
2.3	Bui Minh Tuan		Brother					-	-	
2.4	Vina Investment JSC		Chairman of BOD					-	-	

S/N	Name of organization/individual	Securities Trading Account (if any)	Title	ID card/Certificate of Business Registration No.	Date of issue	Place of issue	Address	Number of shares owned at the end of period	Ratio	Remark
2.5	Toan Viet Investment JSC		Chairman of BOD					-	-	
2.6	Vinafreight JSC		Vice Chairman of BOD					-	-	
2.7	Transimex JSC		Chairman of BOD					455,505	0.934%	
2.8	An Phuc Thinh Trading and Service Co. Ltd		Contributor					-	-	
2.9	Hai An Container Transport Co., Ltd		Chairman of BOM					-	-	
2.10	Cho Lon Investment and Import Export Corporation (CHOLIMEX)		Vice Chairman of BOD					-	-	
3	Mr. Vu Thanh Hai		Member of BOD and General Director					2,250	0.005%	
3.1	Vu Ngoc Son		Father					812,502	1.666%	
3.2	Nhu Thi Thuy		Mother					52,875	0.108%	
3.3	Nguyen Linh Chi		Wife					227,250	0.466%	
3.4	Vu Nguyen Khue Ngan		Child					-	-	
3.5	Vu Nguyen Khanh Ngoc		Child					-	-	
3.6	Vu Nguyen Khoi Nguyen		Child					-	-	
3.7	Vu Doan Hanh		Brother					12,150	0.025%	
3.8	Marina Logistics Company Limited		Chairman of BOM					1,722,060	3.530%	
3.9	Hai Ha Investment and Transport JSC		Member of BOD					5,567,500	11.413%	

S/N	Name of organization/individual	Securities Trading Account (if any)	Title	ID card/Certificate of Business Registration No.	Date of issue	Place of issue	Address	Number of shares owned at the end of period	Ratio	Remark
3.10	“K” Line Logistics (Vietnam) Ltd.		Deputy General Director					-	-	
3.11	Hai An Container Transport Co., Ltd		Chairman of BOM					-	-	
3.12	Pan Hai An Company Limited		Member of BOM					-	-	
3.13	Hai An Port Company Limited		Chairman of BOM					-	-	
4	Mr. Tran Quang Tien		Member of BOD					500	0.001%	
4.1	Tran Quang Tuan		Father					-	-	
4.2	Vu Thi Ngoc Anh		Wife					1,500,000	3.075%	
4.3	Tran Vu Uyen Nhi		Child					-	-	
4.4	Tran Quang Thanh Dat		Child					-	-	
4.5	Tran Thi Thanh Hang		Sister					-	-	
4.6	Tran Thi Thanh Thao		Sister					-	-	
4.7	Gelex Logistics Company Limited		Chairman of BOM - General Director					-	-	
4.8	South Logistics Joint Stock Company		Member of BOD					-	-	
4.9	Southern Waterborne Transport Corporation		Chairman of BOD					-	-	
4.10	No.1 Logistics Development Joint Venture Company		Chairman of BOD					-	-	
4.11	South Port JSC		Chairman of BOD					-	-	

S/N	Name of organization/individual	Securities Tranding Account (if any)	Title	ID card/Certificate of Business Registration No.	Date of issue	Place of issue	Address	Number of shares owned at the end of period	Ratio	Remark
4.12	Green Field JSC		Chairman of BOD					2,000,000	4.100%	
4.13	Green Field Agency JSC		Chairman of BOD					1,000,000	2.050%	
5	Mr. Nguyen Ngoc Tuan		Deputy General Director					11,125	0.023%	
5.1	Nguyen Van Bao		Father					-	-	
5.2	Nguyen Thi Mau		Mother					-	-	
5.3	Nguyen Thi Thu Hang		Wife					-	-	
5.4	Nguyen Ngoc Thuy Anh		Child					-	-	
5.5	Nguyen Ngoc Minh Chau		Child					-	-	
5.6	Nguyen Thanh Tung		Brother					-	-	
5.7	Hai An Container Transport Co., Ltd		Director					-	-	
5.8	Hai An General Service JSC		Member of BOD					-	-	
5.9	Hai An Agency and Logistics Co., Ltd		Member of BOM					-	-	
6	Ms. Tran Thi Hai Yen		Member of BOD					3,375	0.007%	
6.1	Nguyen Nam Phuong		Husband					-	-	
6.2	Nguyen Thi Dieu		Mother					-	-	
6.3	Nguyen Khanh Trang		Child					-	-	
6.4	Nguyen Tuan Vuong		Child					-	-	
6.5	Tran Thi Hai Sam		Sister					-	-	
6.6	Tran Song Ha		Sister					-	-	
7	Ms. Nguyen Thi Van		Member of BOD					4,500	0.009%	
7.1	Nguyen Trong Nguyen		Father					-	-	
7.2	Nguyen Thi Doan		Mother					-	-	

S/N	Name of organization/individual	Securities Trading Account (if any)	Title	ID card/Certificate of Business Registration No.	Date of issue	Place of issue	Address	Number of shares owned at the end of period	Ratio	Remark
7.3	Duong Thanh Dung		Husband					-	-	
7.4	Duong Thanh Phuoc		Child					-	-	
7.5	Duong Thanh Son		Child					-	-	
7.6	Pan Hai An Company Limited		General Director and Member of BOM					-	-	
III. Affiliated person(s) of internal shareholder(s) who is the Member of Board of Management:										
1	Mr. Vu Thanh Hai		General Director and Member of BOD					2,250	0.005%	
See List of affiliated person(s) in Item 3 Section II of this Table										
2	Mr. Nguyen Ngoc Tuan		Deputy General Director and Member of BOD					11,125	0.023%	
See List of affiliated person(s) in Item 5 Section II of this Table										
3	Ms. Pham Thi Hong Nhung		Deputy General Director and Chief Accountant					-	-	
3.1	Dang Thi Dung		Mother					-	-	
3.2	Nguyen Pham Hong Le		Child					-	-	
3.3	Nguyen Pham Hong Nhi		Child					-	-	
3.4	Pham Thi Hong Nhien		Sister					-	-	
3.5	Pham Van Hung		Brother					-	-	
3.6	Pham Duy Hung		Brother					-	-	

S/N	Name of organization/individual	Securities Trading Account (if any)	Title	ID card/Certificate of Business Registration No.	Date of issue	Place of issue	Address	Number of shares owned at the end of period	Ratio	Remark
3.7	Hai An Container Transport Co., Ltd		Member of BOM					-	-	
IV. Related person(s) of the internal shareholder (s) who is/are the member of Control Board:										
1	Ms. Nguyen Thi Thanh Tu		Head of Control Board					14,725	0.030%	
1.1	Nguyen Huu Tien		Father					-	-	
1.2	Nguyen Thi Loan		Mother					-	-	
1.3	Nghiem Anh Hop		Husband					-	-	
1.4	Nghiem Nguyen Bao Nhi		Child					-	-	
1.5	Nghiem Xuan Khanh		Child					-	-	
1.6	Nguyen Huu Toan		Brother					-	-	
2	Ms. Tran Thi Thom		Controller					13,170	0.027%	
2.1	Tran Van Quan		Father					-	-	
2.2	Vu Thi Duyen		Mother					-	-	
2.3	Tran Dinh Trung		Husband					-	-	
2.4	Tran Thi Thu Trang		Child					-	-	
2.5	Tran Van Quan		Brother					-	-	
2.6	Tran Thi Hue		Sister					-	-	
2.7	Tran Van Nam		Brother					-	-	
2.8	Tran Thi Tham		Sister					-	-	
2.9	Tran Van Phat		Brother					-	-	
3	Mr. Nguyen Xuan Loc		Controller					-	-	
3.1	Ngo Le Thuy		Wife					-	-	
3.2	Vu Thi Me		Mother					-	-	
3.3	Nguyen Phuc Minh		Child					-	-	
3.4	Pan Hai An Company Limited		Deputy General Director					-	-	
V. Affiliated person(s) of the internal shareholder(s) who is/are the Chief Accountant/Person-in-charge-of accounting:										

S/N	Name of organization/individual	Securities Trading Account (if any)	Title	ID card/Certificate of Business Registration No.	Date of issue	Place of issue	Address	Number of shares owned at the end of period	Ratio	Remark
1	Ms. Pham Thi Hong Nhung		Deputy General Director and Chief Accountant					-	-	
See List of affiliated person(s) in Item 3 Section III of this Table										
2	Ms. Tran Thi Thanh Hao		Person-in-charge-of Accounting					-	-	
2.1	Tran Quang Hai		Father					-	-	
2.2	Do Thi Hien		Mother					-	-	
2.3	Nguyen Thanh Long		Husband					-	-	
2.4	Nguyen Tran Nhat		Chid					-	-	
2.5	Nguyen Tran Nhat Nam		Chid					-	-	
2.6	Tran Quang Huy		Brother					-	-	
VI. Affiliated person(s) of the person authorized to disclose information: Not applicable										